

# McKinley County Road Forum 2011



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NMDOT, District Six  
Understanding Process, A general  
Transportation Overview

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# Regional Planning

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- North West Regional Planning Organization (includes McKinley, San Juan, Cibola)
- South West Regional Planning Organization (includes Catron, Grant, Hidalgo, Luna)
- Middle Rio Grand Council of Government Planning Organization (includes Bernalillo, Sandoval, Valencia, Torrance)



# RPO and NMDOT Regional Planning Responsibilities

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- Prioritizing Roadway Improvements on:
  - Interstate Routes
  - US Routes
  - NM Routes
- Coordinating Long Range Plans between NMDOT and Entities
- Resolution of transportation conflicts & issues of mutual concern

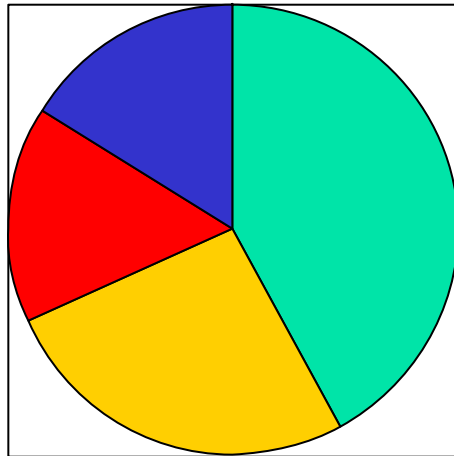


# NMDOT Local Government Road Fund (LGRF)

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- Four programs
  - Cooperative Agreements
  - School Bus Routes
  - County Arterial Program
  - Municipal Arterial Program

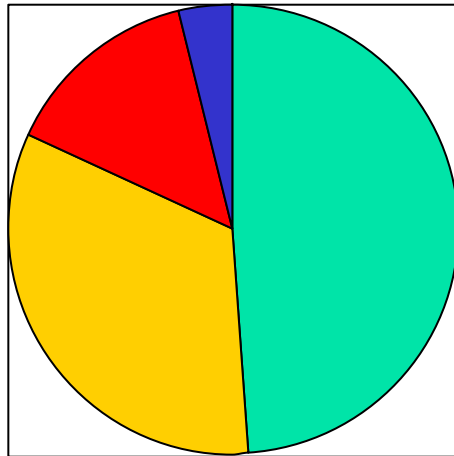
# Total Local Government Road Fund (LGRF)



**■ Cooperative**  
**■ County Arterial**  
**■ School Bus Route**  
**■ Municipal Arterial**

- Cooperative – 42%
- County Arterial – 26%
- School Bus Route – 16%
- Municipal Arterial – 16%

# LGRF Cooperative Program



**■ Municipal**  
**■ County**  
**■ School District**  
**■ Others**

- Municipal – 49%
- County – 33%
- School District – 14%
- Others – 4%



# LGRF Cooperative Program (Others)

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- May provide funds for tribal entities for:
  - Roadway Construction
  - Roadway Improvements
  - Roadway Maintenance
- Normally between \$60,000 to \$100,000 annually, and is divided for District Six Pueblos, Tribes, Nation, Campus, or Water District
- Agreements through NMDOT (Exhibit A)



# Legislative Appropriations

(Capital Outlay, Severance Tax, General Funds)

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- May provide funds for:
  - Roadway Project Development
  - Roadway Construction and Reconstruction
  - Roadway Improvements, Maintenance, and Repair
  - Roadway Right-of-way and material acquisition
- Agreements through NMDOT (Exhibit A)

# New Mexico Revenue

## New Mexico Legislative Appropriations

NMDOT Road Fund

Local Government  
Road Fund

Others Fund

Navajo DOT & others

Capital Outlay

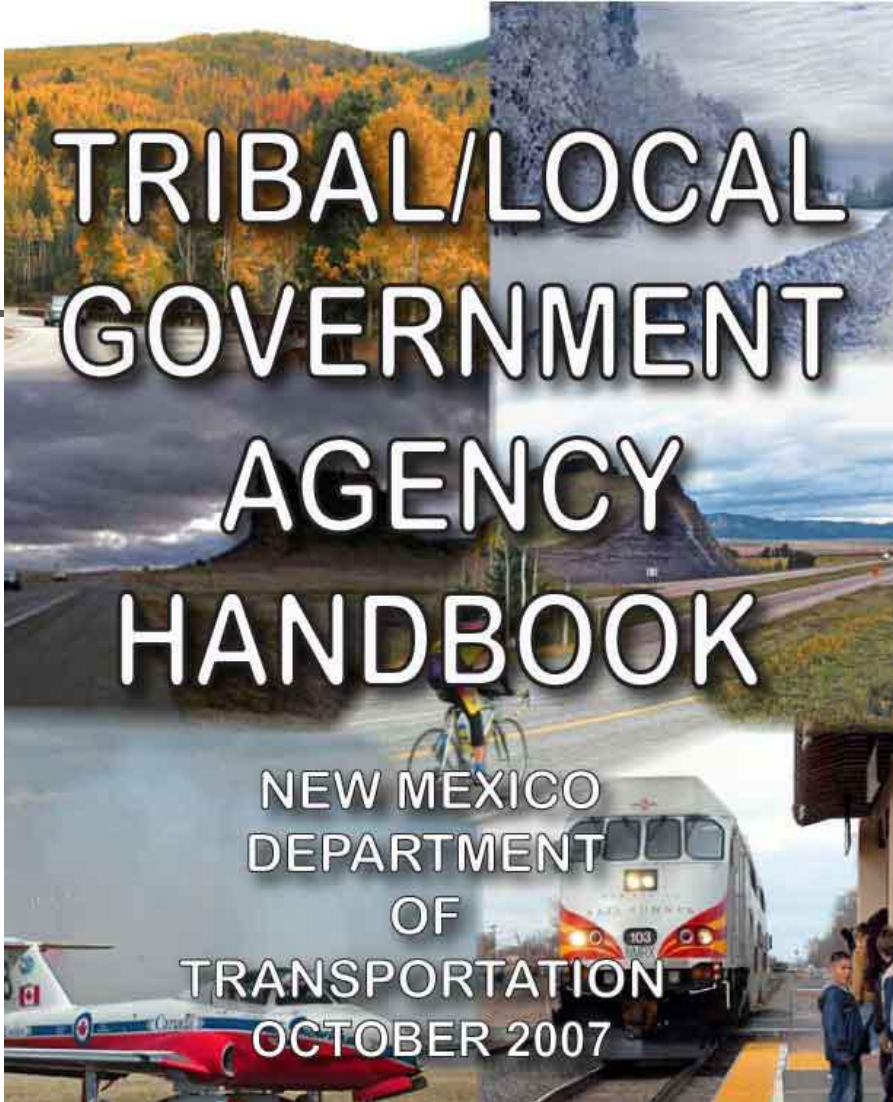
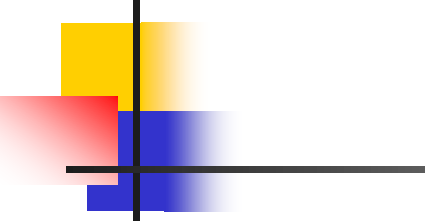
Navajo DOT & others

Severance Tax

Navajo DOT & others

General Fund

Navajo DOT & others



# TRIBAL/LOCAL GOVERNMENT AGENCY HANDBOOK

NEW MEXICO  
DEPARTMENT  
OF  
TRANSPORTATION  
OCTOBER 2007

[http://nmshtd.state.nm.us/upload/images/Local\\_Government\\_Agreement\\_Unit/TLGA\\_HANDBOOK\\_October07.pdf](http://nmshtd.state.nm.us/upload/images/Local_Government_Agreement_Unit/TLGA_HANDBOOK_October07.pdf)



## If receiving funds through LGRF (others) or Legislative Appropriation:

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- Must Follow a Project Development Process to Include:
  1. Project Definition
  2. Environmental Class of Action Determination
  3. Complete Inventory of Existing Conditions
  4. Scoping Meetings with all stakeholders



## If receiving funds through LGRF (others) or Legislative Appropriation:

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- Must Follow a Project Development Process to Include:
  5. Reevaluate Proposed cost
  6. Environmental Assessment & Documentation
  7. Finalized Cost Estimation & Design



## If receiving funds through LGRF (others) or Legislative Appropriation:

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- Must Follow a Project Development Process to Include:
  8. Seek appropriate funding for full project
  9. Enter into Agreement with NMDOT for Authorization and expenditure of funding
  10. Let to bid for construction
  11. Construct the project in accordance with the Design, Specifications, and Agreement



# Project Development Process

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1. Project Definition (varies from weeks to months)
  - Fit to long range plan
  - Public outreach
  - Coordinate with Agency (ie: NNDOT, BIA,...)
  - Get feedback
  - Determine Alternatives
  - Determine needs
  - Determine improvements



# Project Development Process

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## 2. Environmental Class of Action

Determination (Exhibit B, weeks to months)

- Complete project scoping phase
- Determine if Categorical Exclusion, Environmental Assessment/Finding of no Significant Impact, or Environmental Impact Statement/Record of Decision is required
- Depending on determined environmental class of action, prepare the appropriate engineering process



# Project Development Process

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3. Complete Inventory of Existing Conditions (varies from weeks to months)
  - Refine Project Purpose
  - Prepare maps of project location
  - Prepare location Survey
  - Prepare preliminary ROW and ownership maps
  - Involve public as needed to inform of scoping for project



# Project Development Process

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4. Scoping Meetings with all stakeholders (varies from weeks to months)
  - Determine all reasonable alternatives
  - Evaluate and determine most reasonable alternatives (cost, impacts,...)
  - Identify cost, phasing, environmental actions, schedule....
  - More public information
  - Select alternative
  - Cultural field surveys



# Project Development Process

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## 5. Reevaluate Proposed cost (weeks)

- Proposed funding source? (Planning?, Design?, Construction? Management?)
- Public input?
- Feasibility?
- When is project going to construction?
- Is there support from the appropriate Agency (ie: NNDOT, BIA, ...)



# Project Development Process

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## 6. Environmental Assessment & Documentation (varies from weeks to months)

- Prepare Preliminary Design Plans
- Complete Cultural Resources
- Conduct Field Surveys
- Include consultation with Agency (ie: SHPO, BIA, ...)
- More Public Information Meetings
- Look into drainage impacts



# Project Development Process

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## 6. Environmental Assessment & Documentation (Continued)

- Prepare Environmental Document
- Cultural Resource Mitigation?
- Public Hearings?
- Complete ROW Maps and begin Acquisition



# Project Development Process

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7. Finalized Cost Estimation & Design (varies from weeks to months)
  - Pollution Prevention Plan
  - Permanent Traffic Control
  - Coordinate Utilities
  - Coordinate Rail Road, Monumentation, ROW
  - Cultural Resource Mitigation
  - Determine specifications & State and Federal Regulations
  - Determine time to complete project



# Project Development Process

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8. Seek appropriate funding for entire project (time well spent)
  - Often the final Design does not match the initial estimated cost estimate



# Project Development Process

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9. Enter into Agreement with NMDOT for Authorization and expenditure of funding (varies from weeks to months)
  - Apply by March 15<sup>th</sup>
  - Include a Formal Resolution signed by Entity (Exhibit C)
  - Execute agreement
  - LGRF can be dispersed in advance of Construction (if proj. dev. Is complete)
  - Legislative appropriations are reimbursed upon completion of Construction



# Project Development Process

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## 10. Let to bid for construction (weeks)

- Fully Executed Agreement is in Place
- Communicate Directly and Continuously With The District! (this is important)
- Checklists to ensure funding availability
- Contact Lists for required actions
- Forms to ensure requirements are met
- Form Letters for clarity and consistency



# Project Development Process

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11. Construct the project in accordance with the Design, Specifications, and Agreement (varies from weeks to months)
  - Preconstruction Meeting (NMDOT, Entity, & Contractor)
  - Project Documentation (Exhibit D)
    - Payment Disbursement requests
    - Notice of Award/ Work Order
    - Notice to Proceed
    - Cost Estimate and invoices
    - Close out documentation
  - NMDOT/Entity Inspections (3 Minimum)
  - Work with District Continuously (checklists, forms, contacts,...)



# District Six Can Help you with Regulations & Procedures

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- Stages of projects
  - Project Development
  - Application Procedures
  - Agreement Development & Processing
  - Construction Inspection
  - Project Documentation
  - Keep the District informed throughout



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QUESTIONS?